

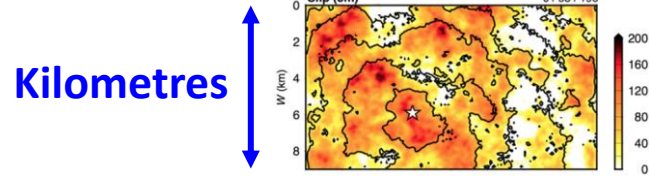
# Validating alternative methods to account for shallow site effects in hybrid broadband ground- motion simulation of small-magnitude earthquakes in New Zealand

Felipe Kuncar

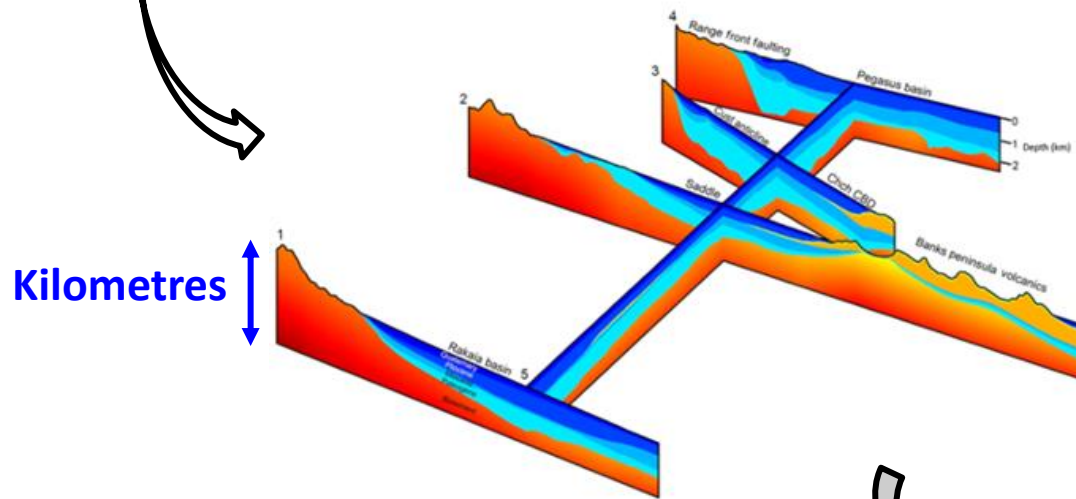
Brendon Bradley, Chris de la Torre, Adrian Rodriguez–Marek,  
Chuanbin Zhu, Robin Lee

# Motivation

## 1. Source Effects



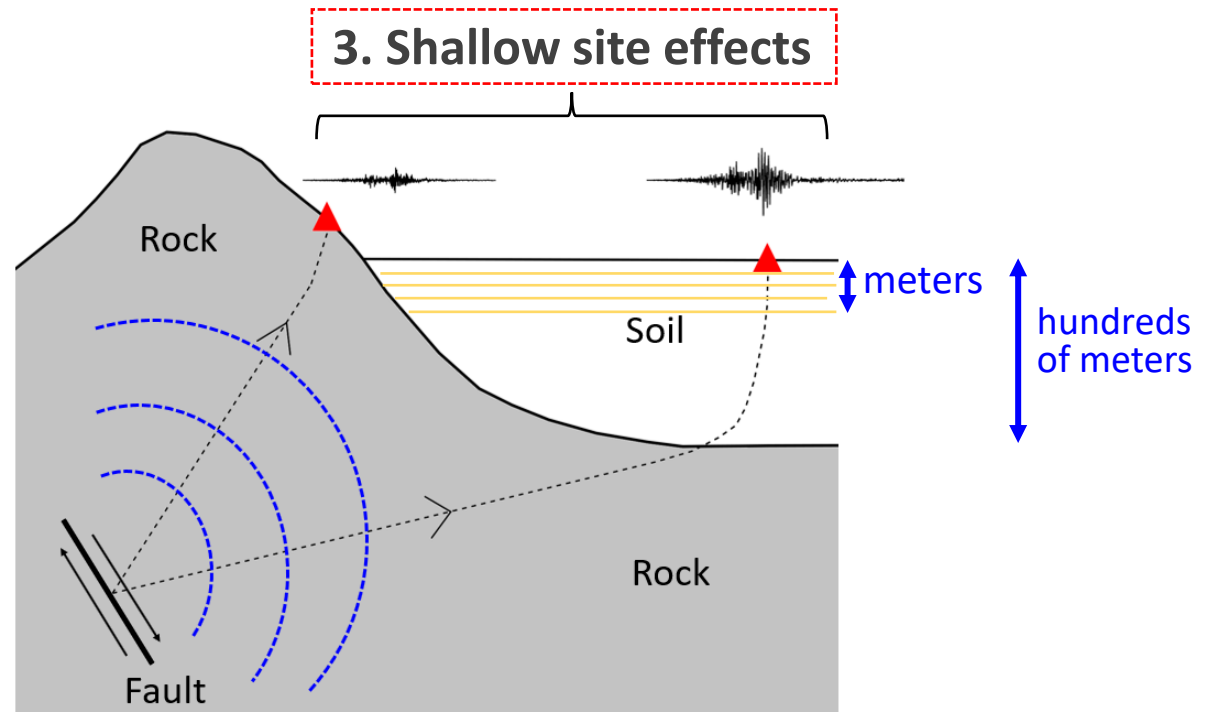
## 2. Path Effects



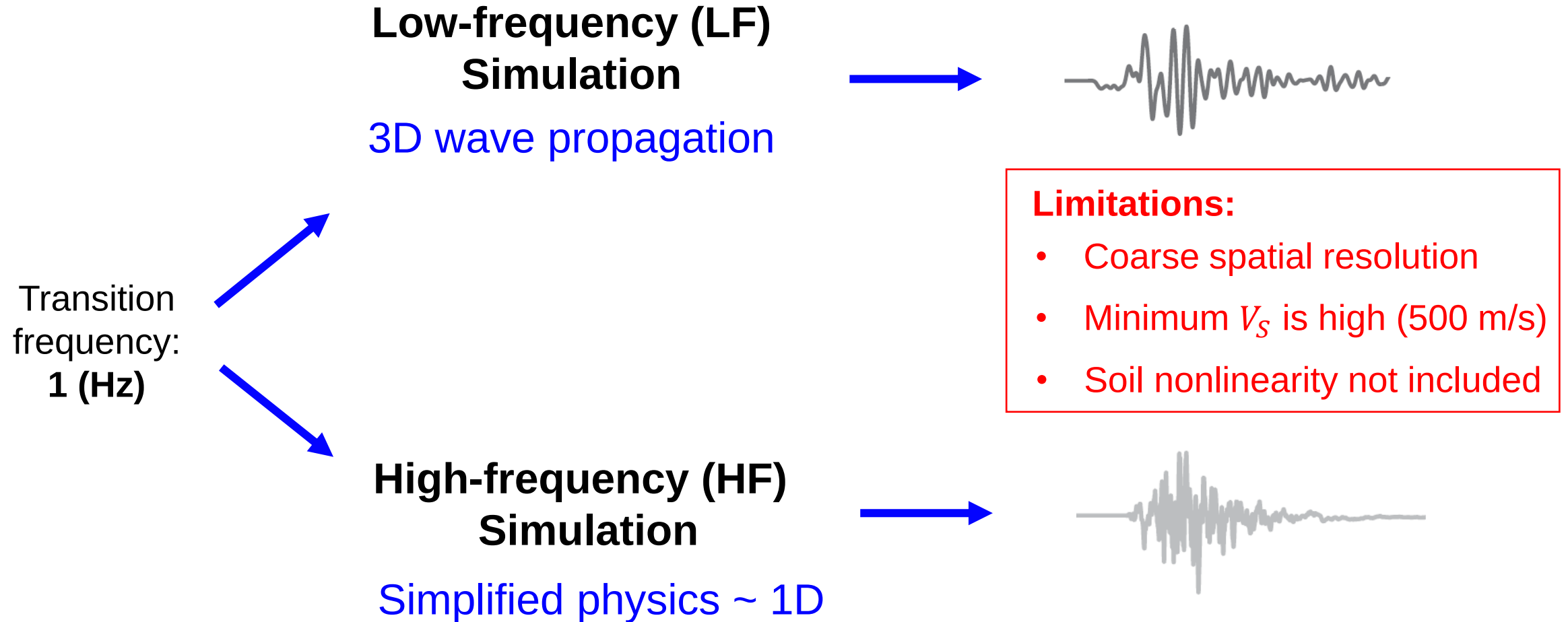
Regional simulations: several kilometres

Shallow site effects occur on a scale of meters

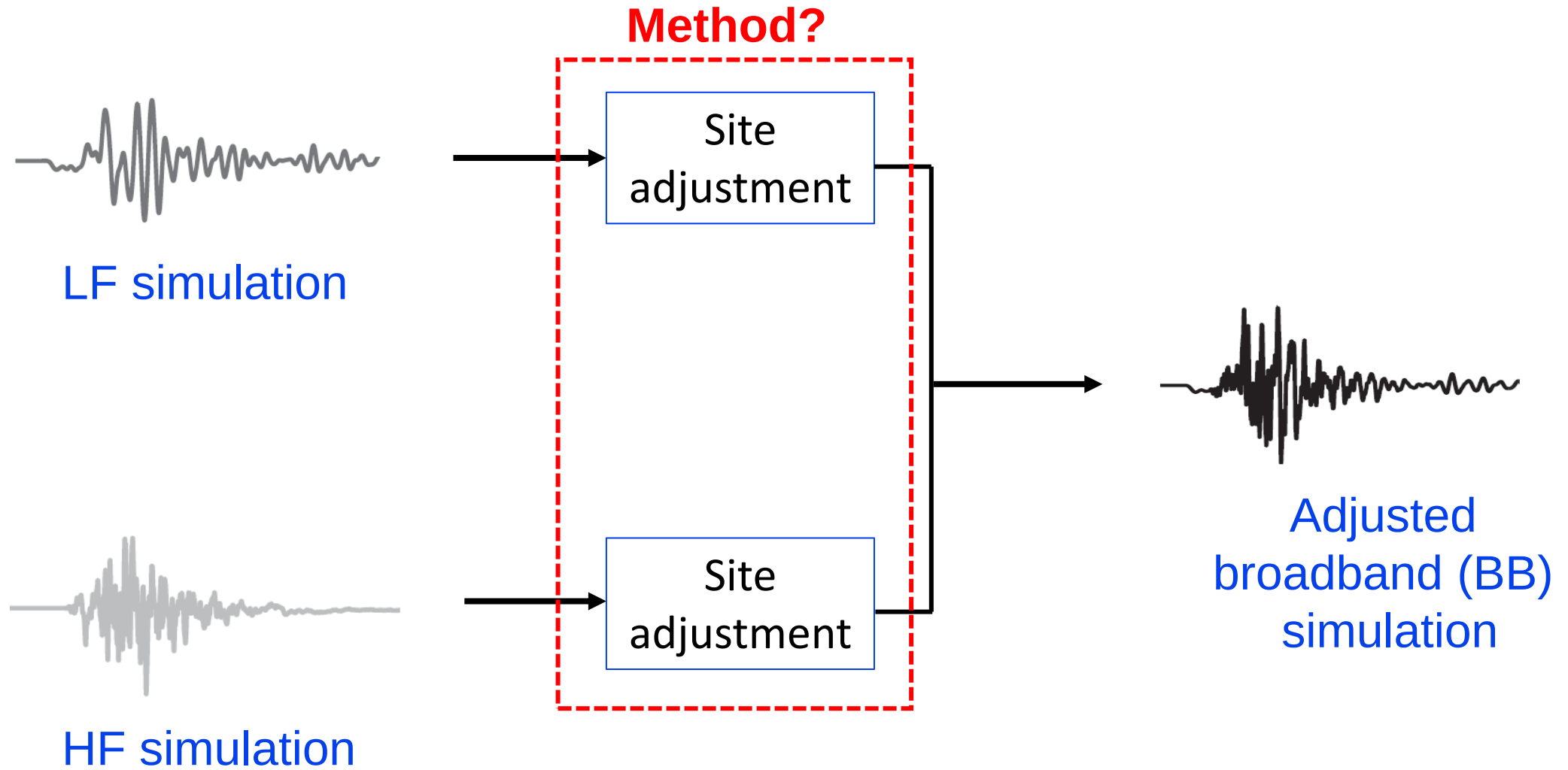
## 3. Shallow site effects



# Hybrid broadband ground-motion simulation

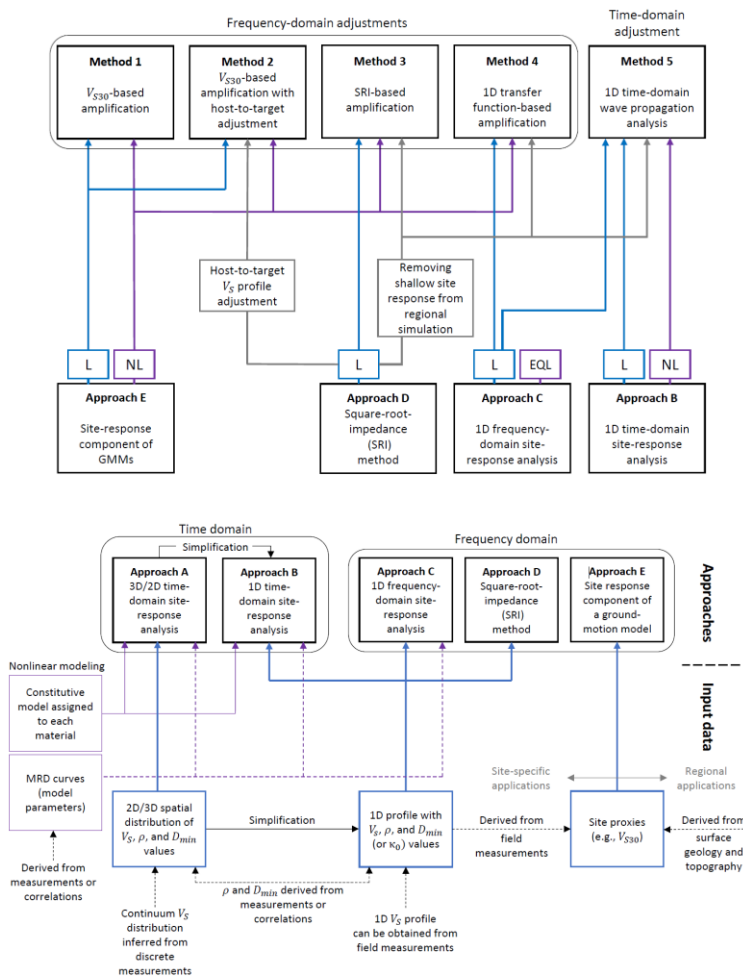


# Hybrid broadband ground-motion simulation



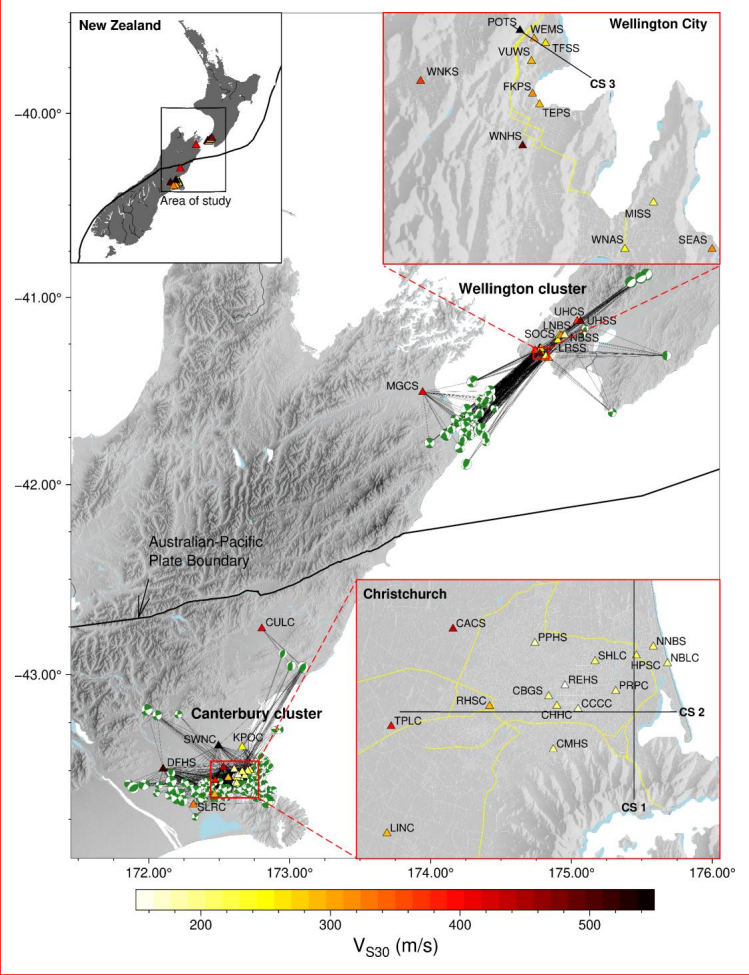
# PhD Thesis Structure

## Journal Article 1: Methods

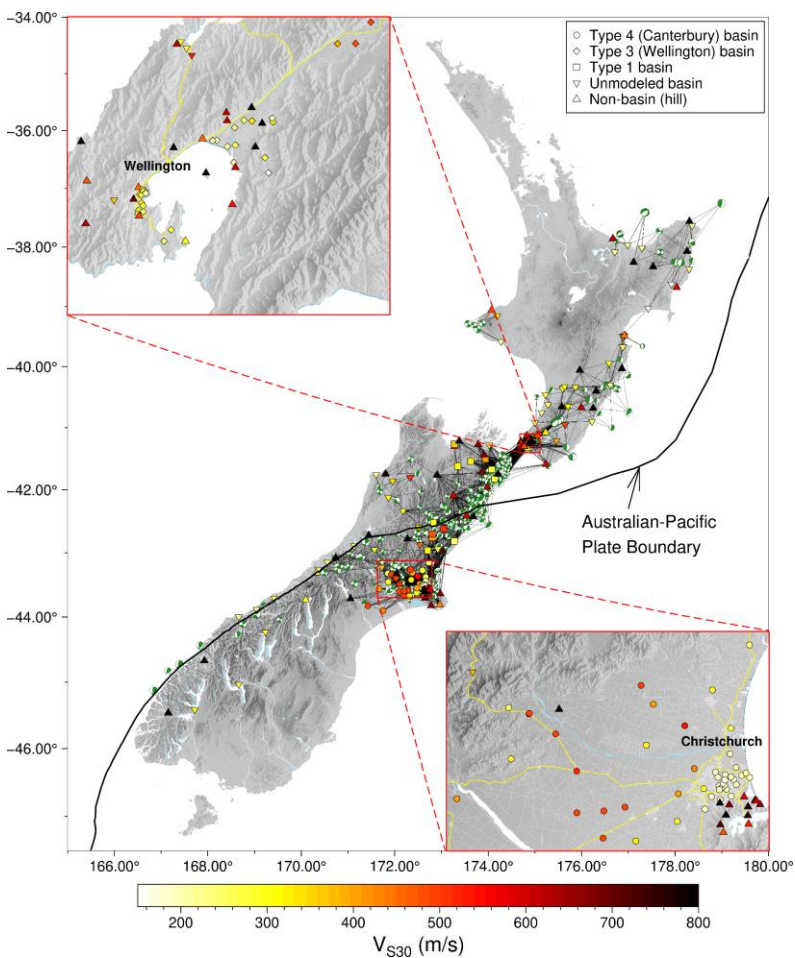


## Today's discussion

## Journal Article 2: Validation using **38 sites** with a $V_S$ profile



## Journal Article 3: Validation using **212 sites** with $V_{S30}$



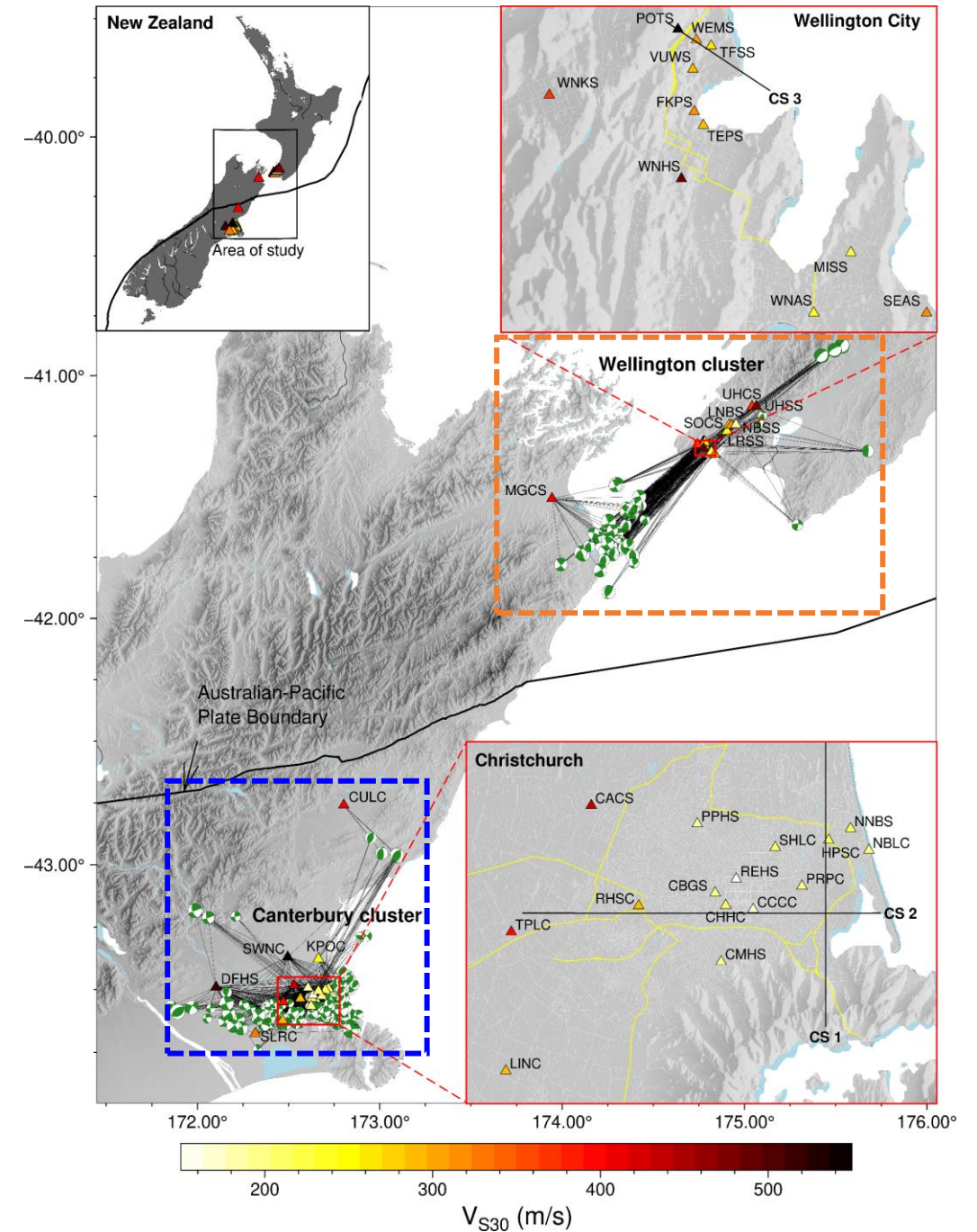
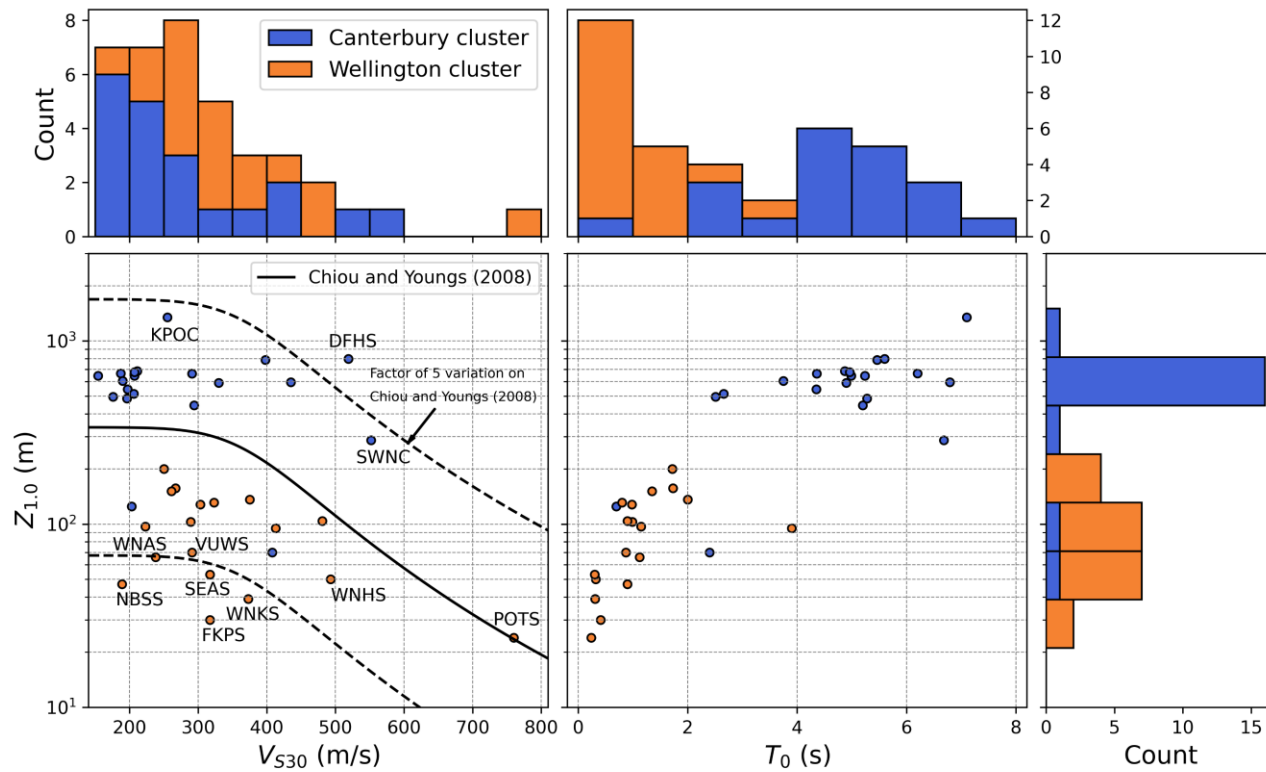
# Methods to account for shallow site effects

|                        | Method                          | Site Factor (SF) formulation                                                                                                                             | Site data     |
|------------------------|---------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| GMM →                  | $V_{S30}$ -based SF             | $\frac{\exp[f_S(V_{S30,actual})]}{\exp[f_S(V_{S30,sim})]} = SF_{1,L} \cdot SF_{1,NL}$                                                                    | $V_{S30}$     |
| SRI method ↗           | SRI-based SF - Full $\kappa_0$  | $\left[ \frac{A_{SRI,actual}}{A_{SRI,sim}} \cdot \frac{\exp(-\pi f \kappa_{0,actual})}{\exp(-\pi f \kappa_{0,sim})} \right] \cdot SF_{1,NL}$             | $V_S$ Profile |
|                        | SRI-based SF - $\Delta\kappa_0$ | $\left[ \frac{A_{SRI,actual}}{A_{SRI,sim}} \cdot \frac{\exp(-\pi f \Delta\kappa_{0,actual})}{\exp(-\pi f \Delta\kappa_{0,sim})} \right] \cdot SF_{1,NL}$ |               |
|                        | SH1D-based SF                   | $\left[ \frac{TF_{SH1D,actual}}{A_{SRI,sim} \cdot \exp(-\pi f \Delta\kappa_{0,sim})} \right] \cdot SF_{1,NL}$                                            |               |
| 1D transfer function → |                                 |                                                                                                                                                          |               |

Research question: Do the  **$V_S$  profile-based methods** provide a significant improvement in predictions relative to the  **$V_{S30}$ -based approach**?

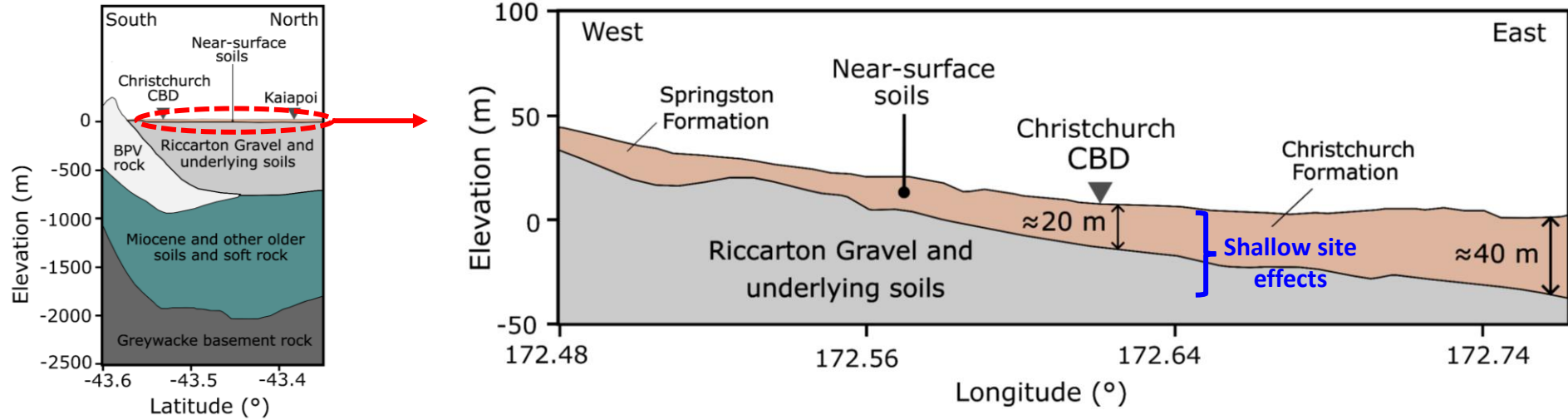
# Ground motion and site data

- **38** strong-motion station sites
- **213** small-magnitude ( $3.5 \leq M_W \leq 5.0$ ) events
- **1446** ground motions

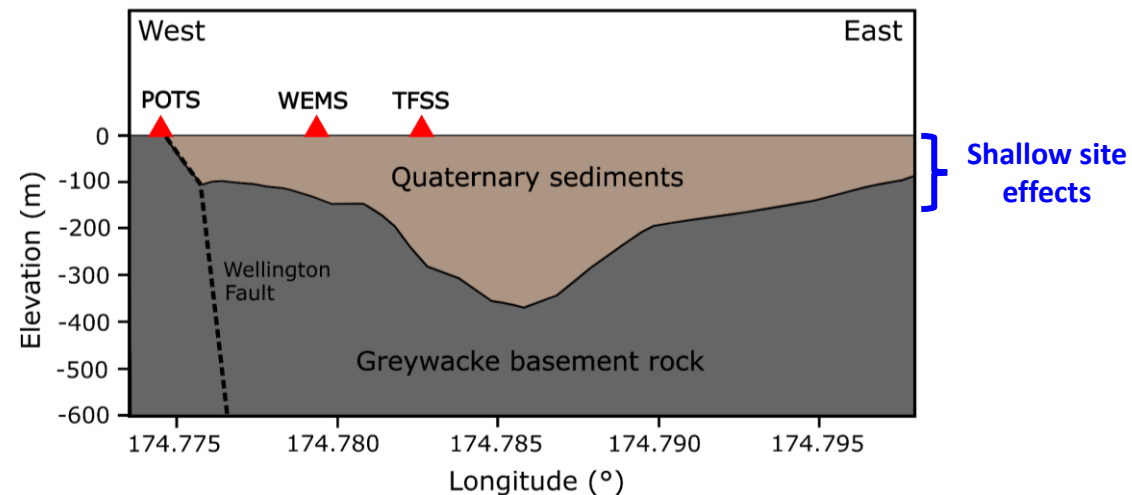


# Regional features

- **Canterbury Cluster:**



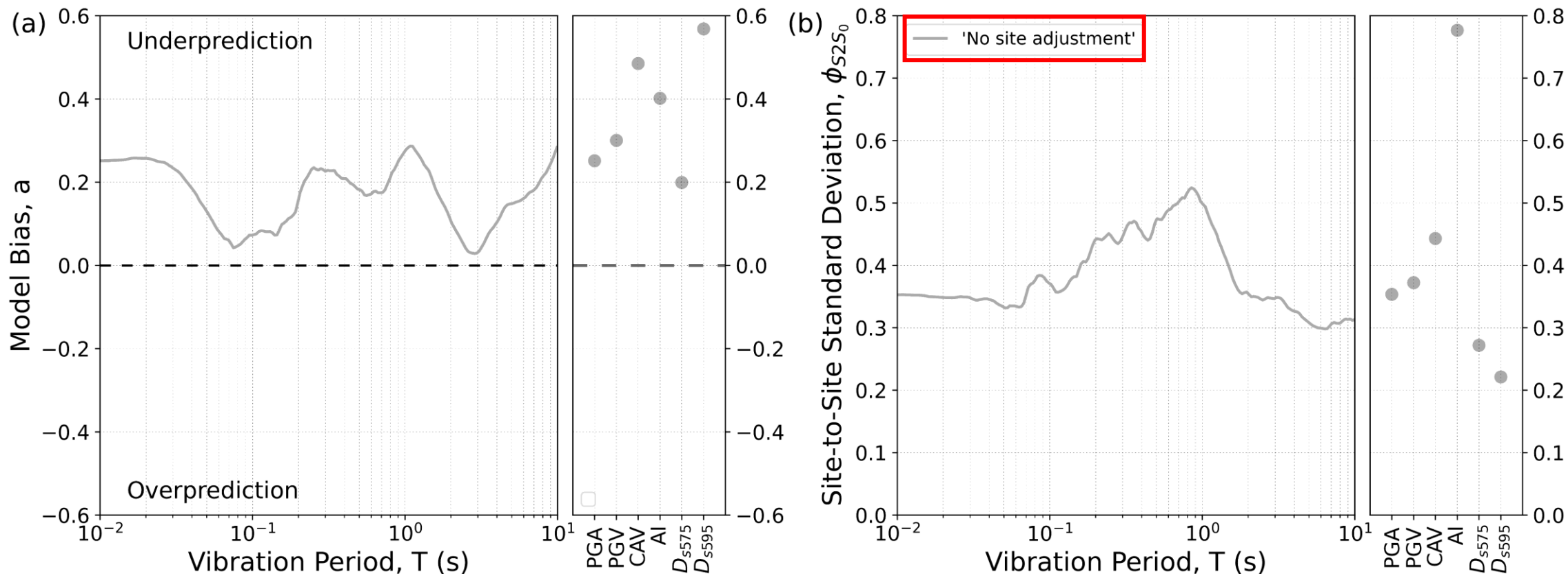
- **Wellington Cluster:**



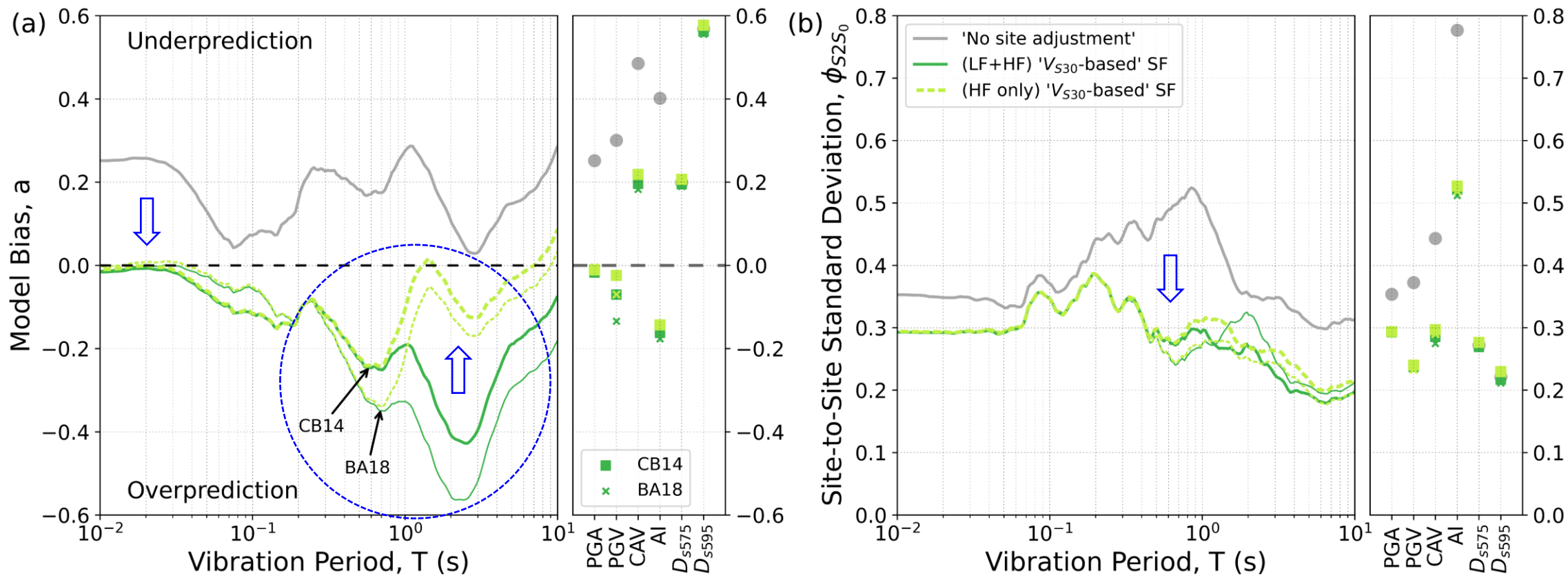
# Model bias and site-to-site variability

1446 ground motions  $\rightarrow \Delta_{es} = \ln IM_{Obs,es} - \ln IM_{Sim,es} = \boxed{a} + \delta C2C_c + \underbrace{\delta S2S_s^0}_{\phi_{S2S}^0} + \delta B_e^0 + \delta W_{es}^0$

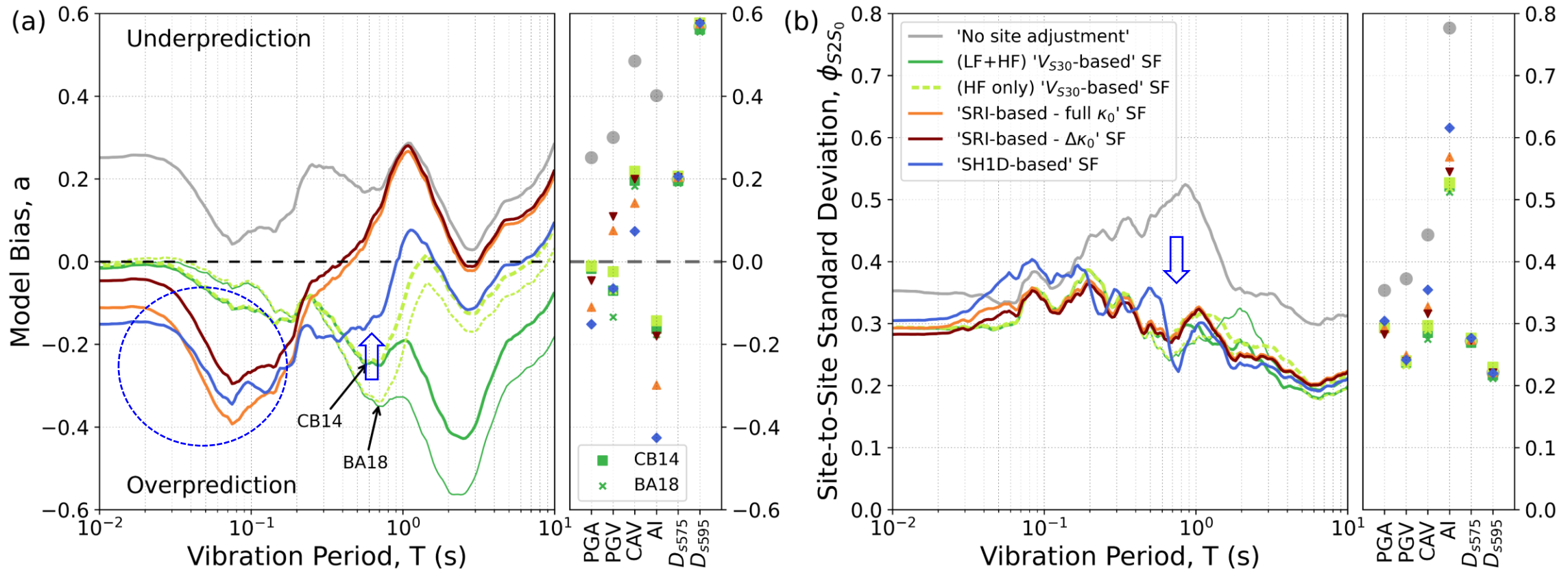
Model bias



# Model bias and site-to-site variability



# Model bias and site-to-site variability

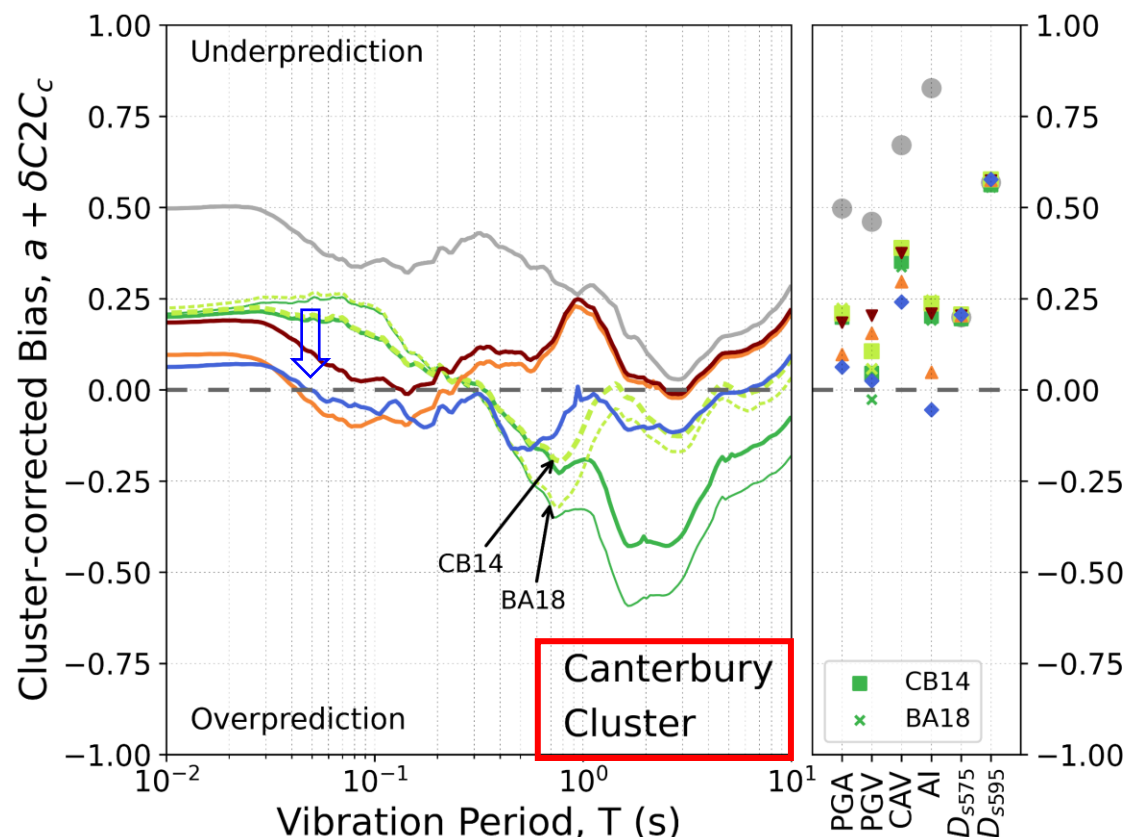


→ Similar reduction in site-to-site variability between methods

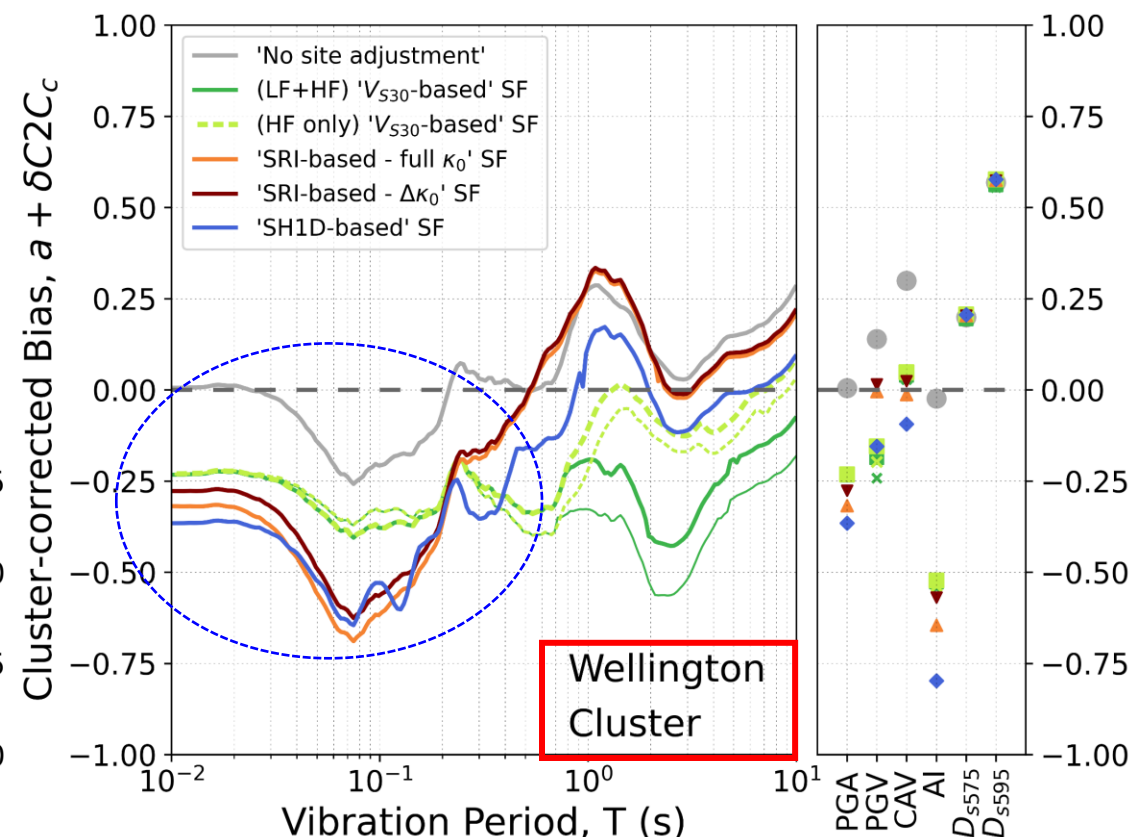
# Model bias and site-to-site variability

$$\Delta_{es} = \ln IM_{Obs,es} - \ln IM_{Sim,es} = \boxed{a + \delta C2C_c} + \delta S2S_s^0 + \delta B_e^0 + \delta W_{es}^0$$

Cluster-corrected bias



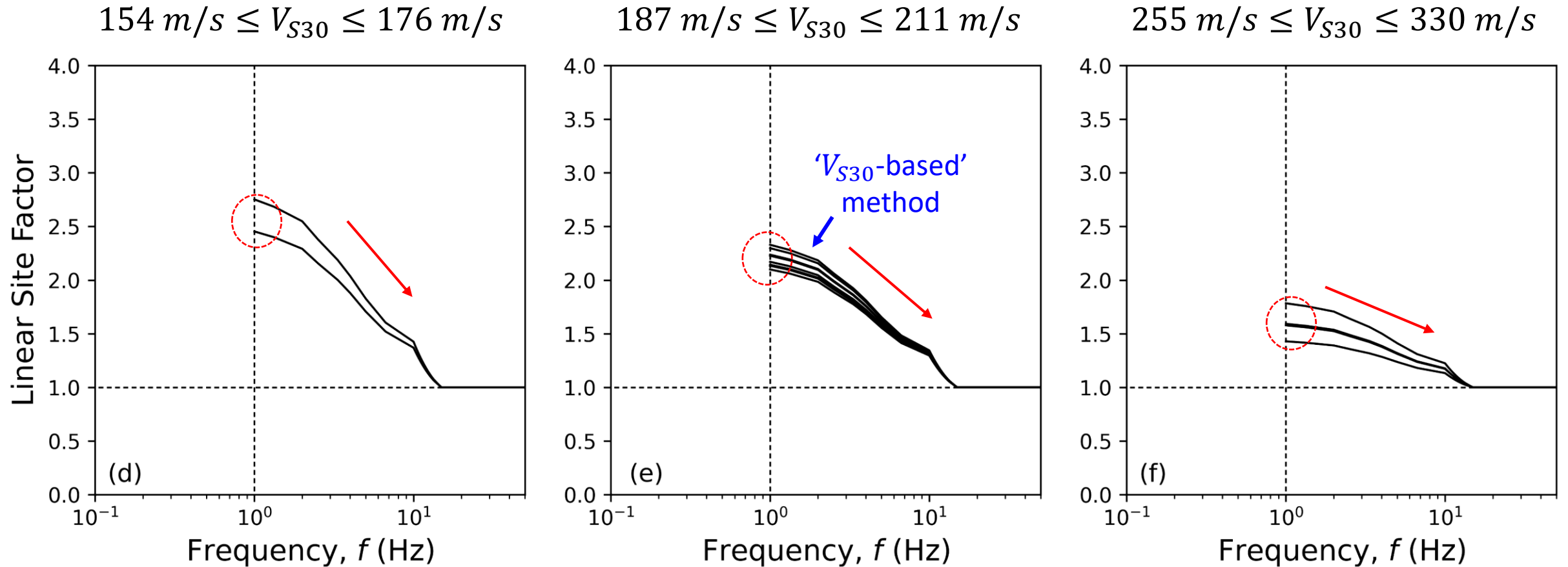
$V_s$  profile-based methods display better performance than  $V_{S30}$ -based approach



Systematic overprediction at short periods (source and path effects)

# Comparison of site adjustments for soil sites

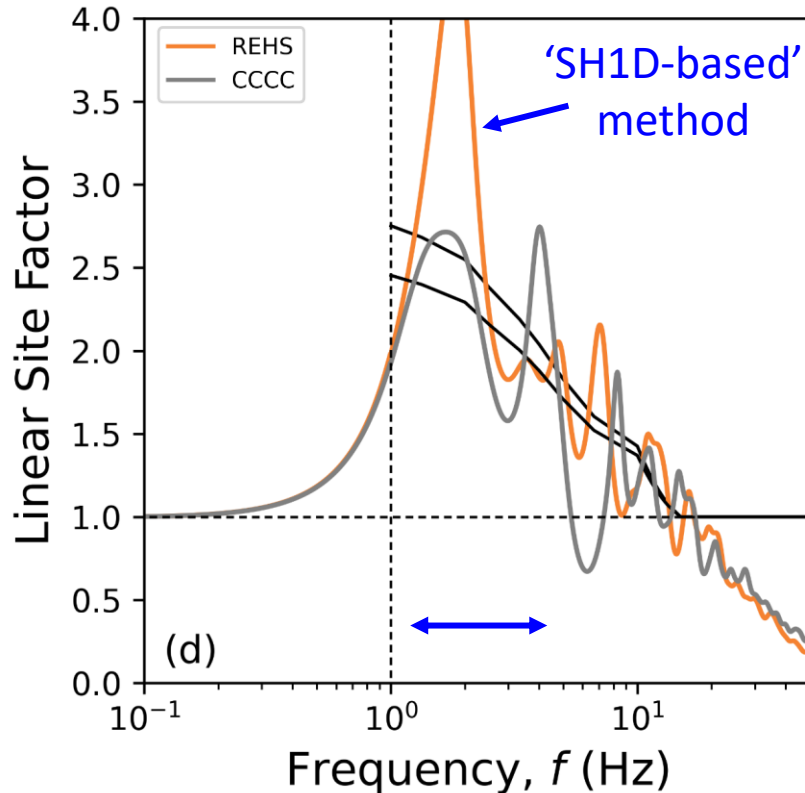
**15 soil sites in the Canterbury cluster:**



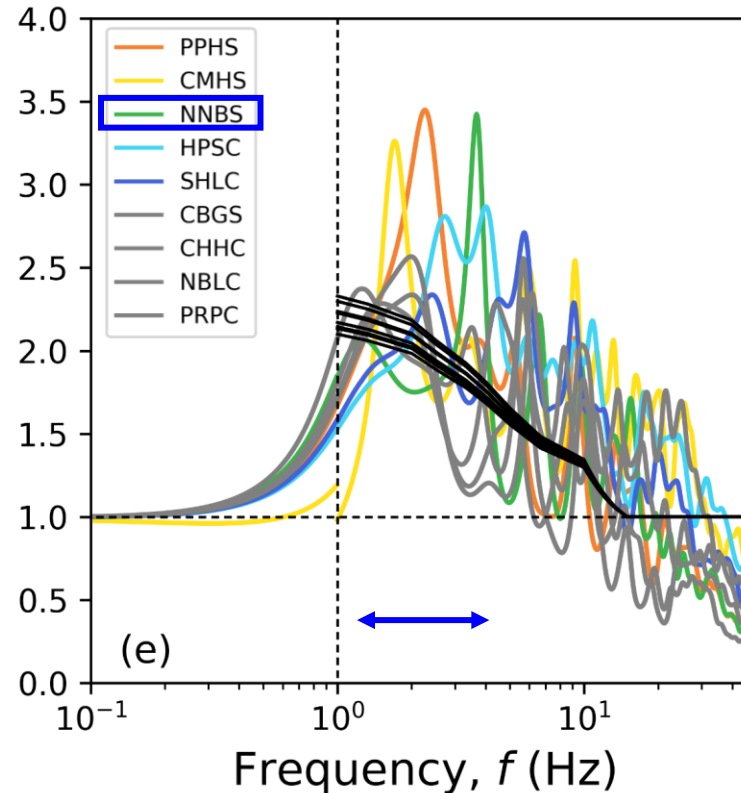
# Comparison of site adjustments for soil sites

15 soil sites in the Canterbury cluster:

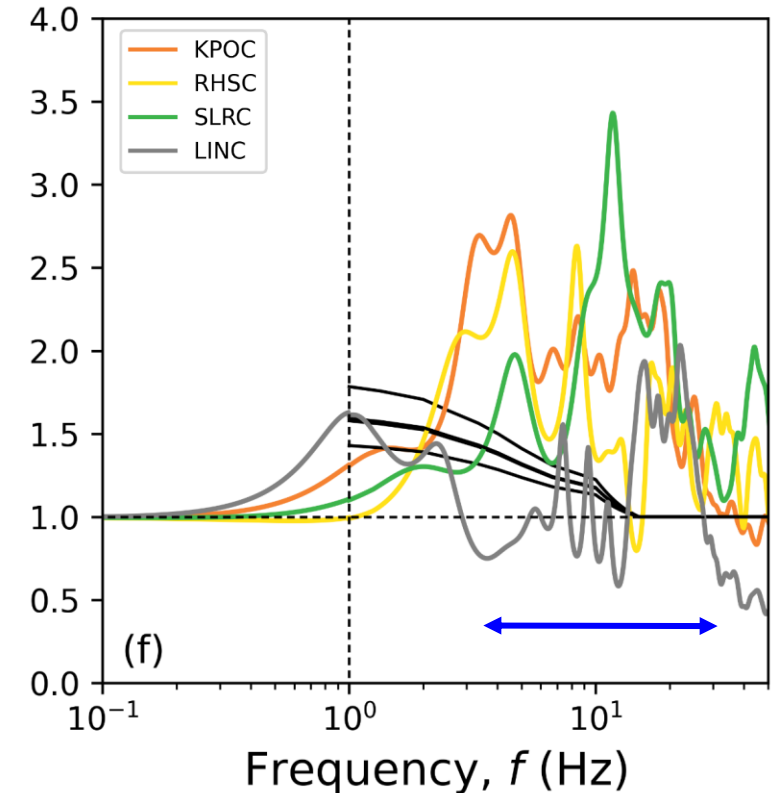
$154 \text{ m/s} \leq V_{S30} \leq 176 \text{ m/s}$



$187 \text{ m/s} \leq V_{S30} \leq 211 \text{ m/s}$



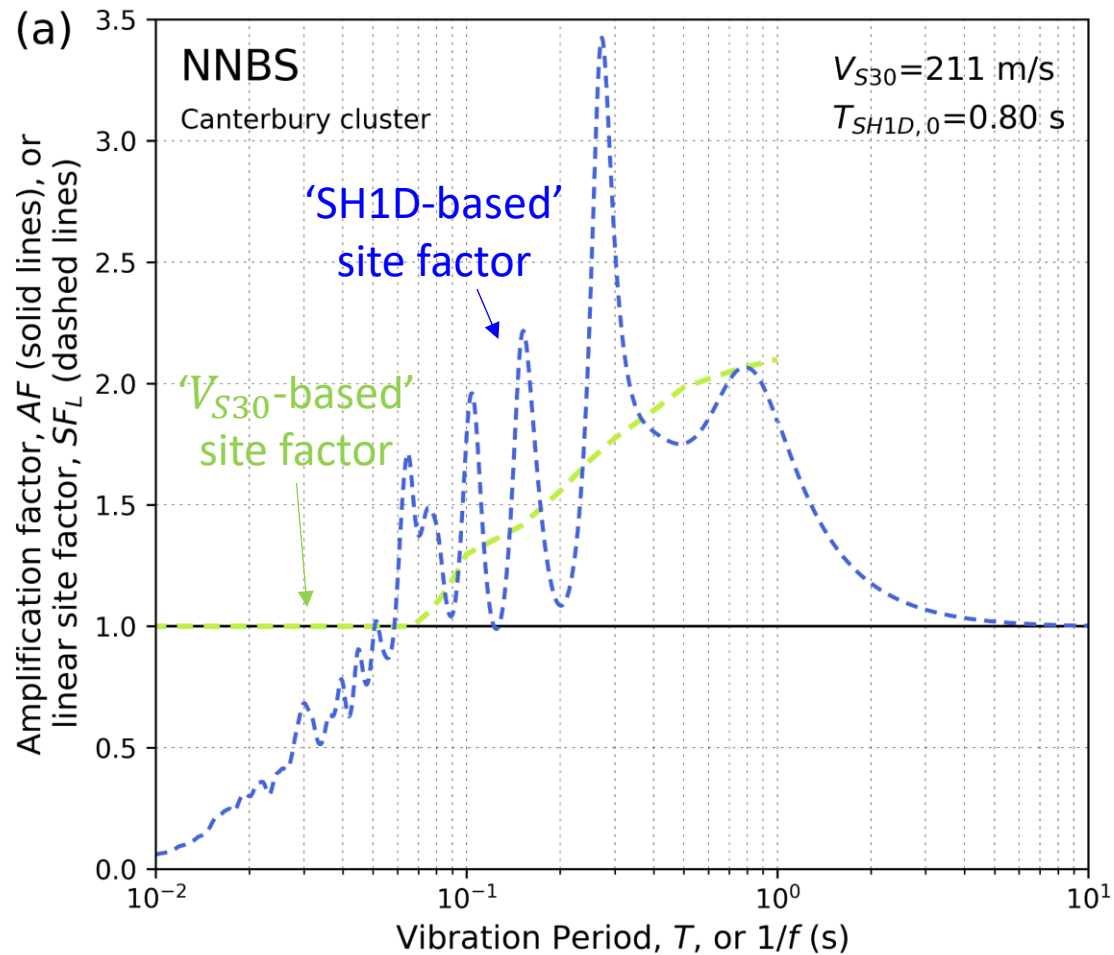
$255 \text{ m/s} \leq V_{S30} \leq 330 \text{ m/s}$



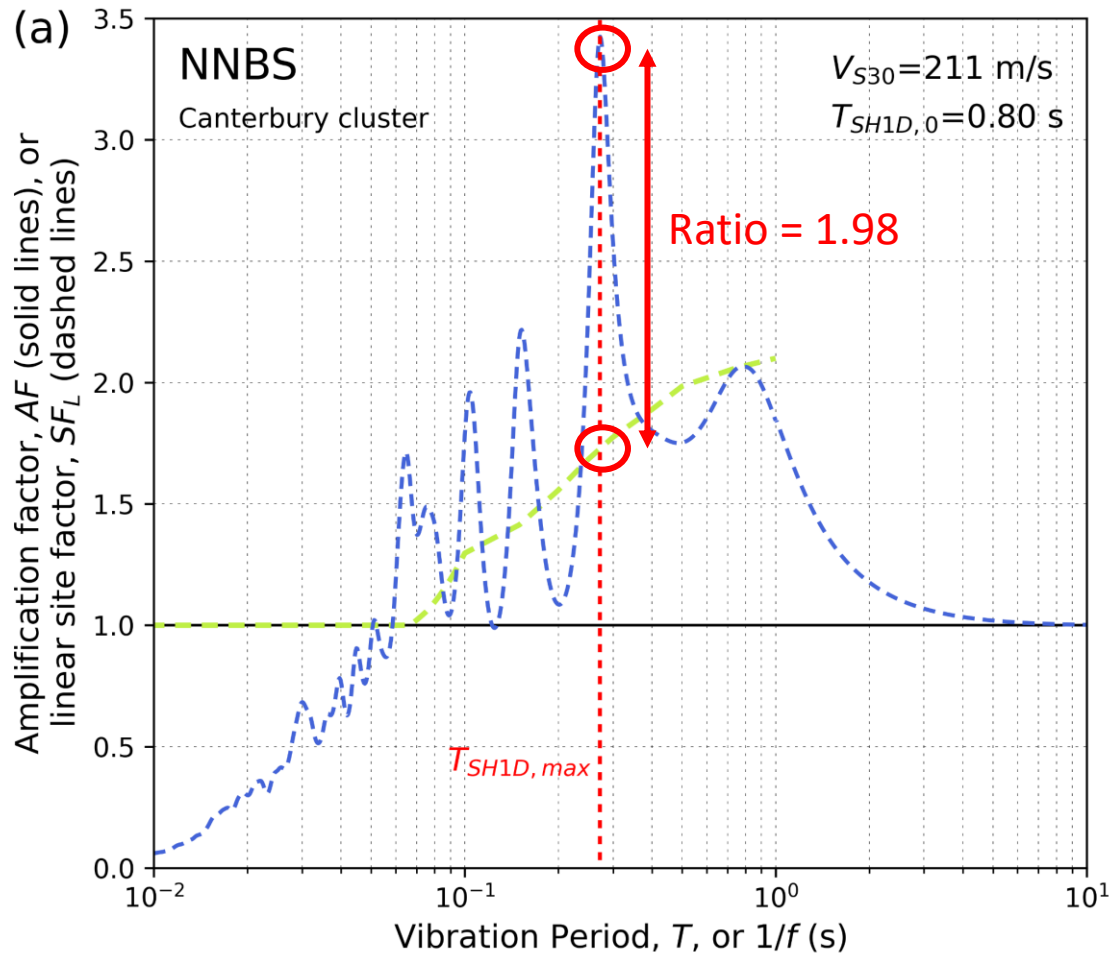
(1) Relatively soft sites with large amplification over narrow frequency bands in the intermediate frequency range

(2) Relatively stiff sites with significant amplification at frequencies considerably higher than 1 Hz

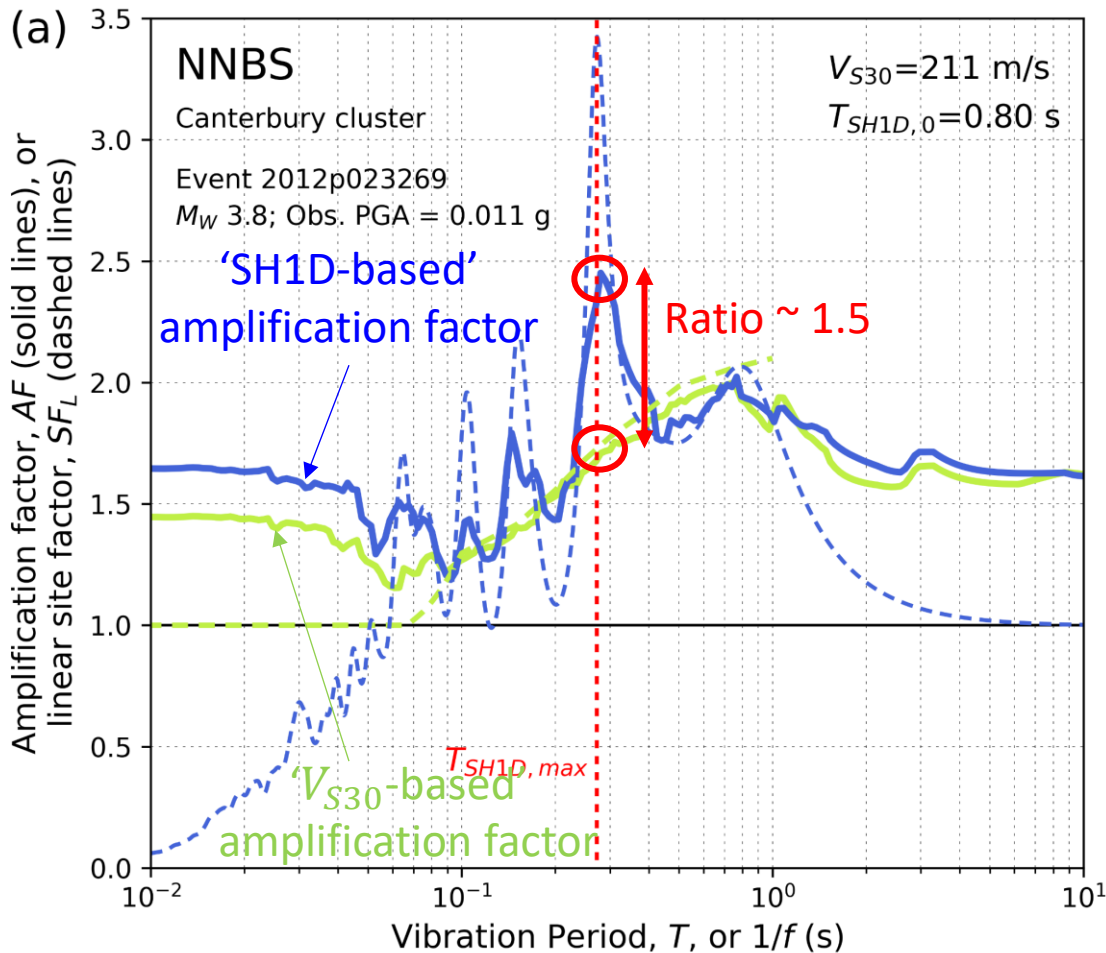
# Comparison of site adjustments for soil sites



# Comparison of site adjustments for soil sites



# Comparison of site adjustments for soil sites



Site factor (SF)

Applied in the Fourier spectral domain

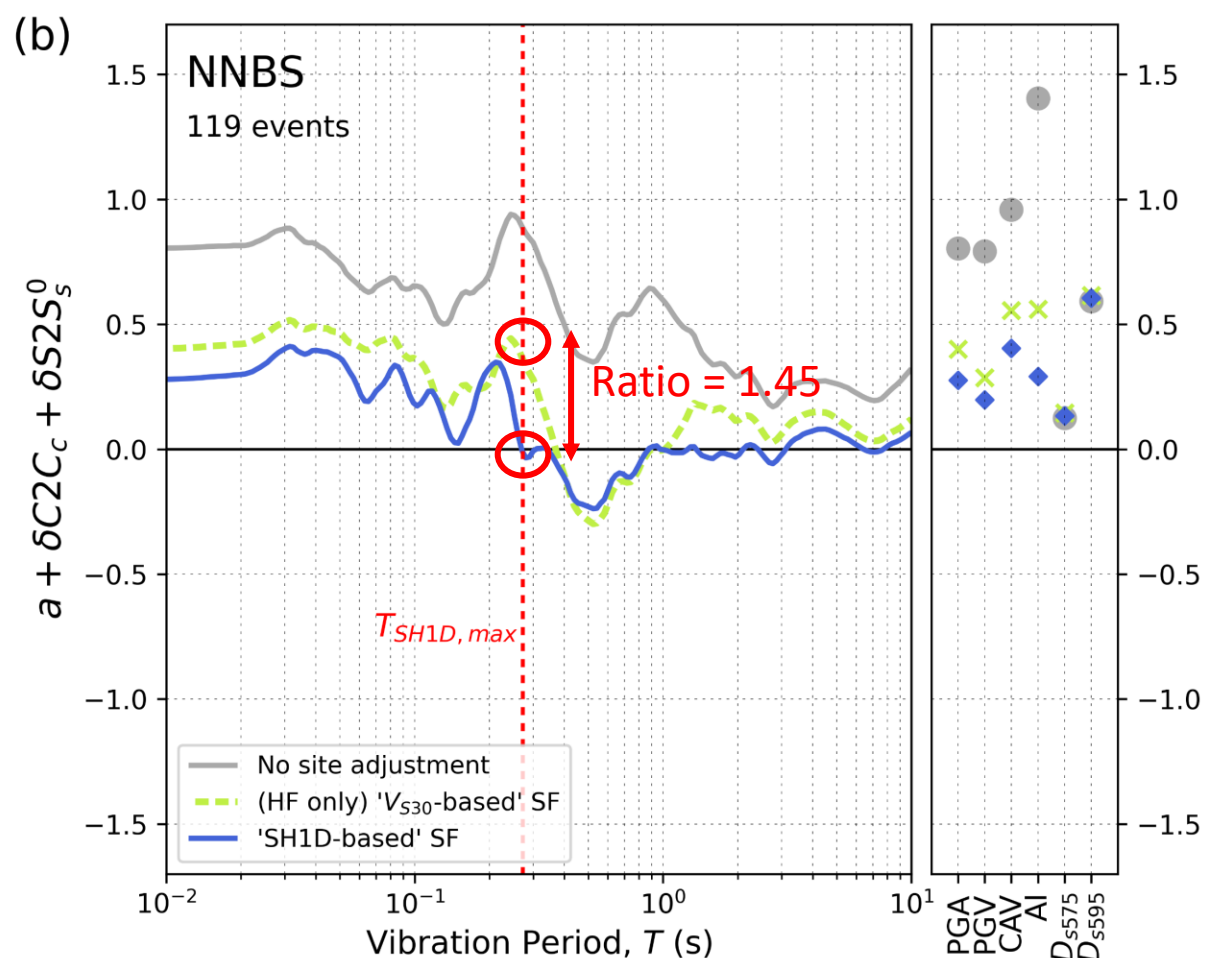
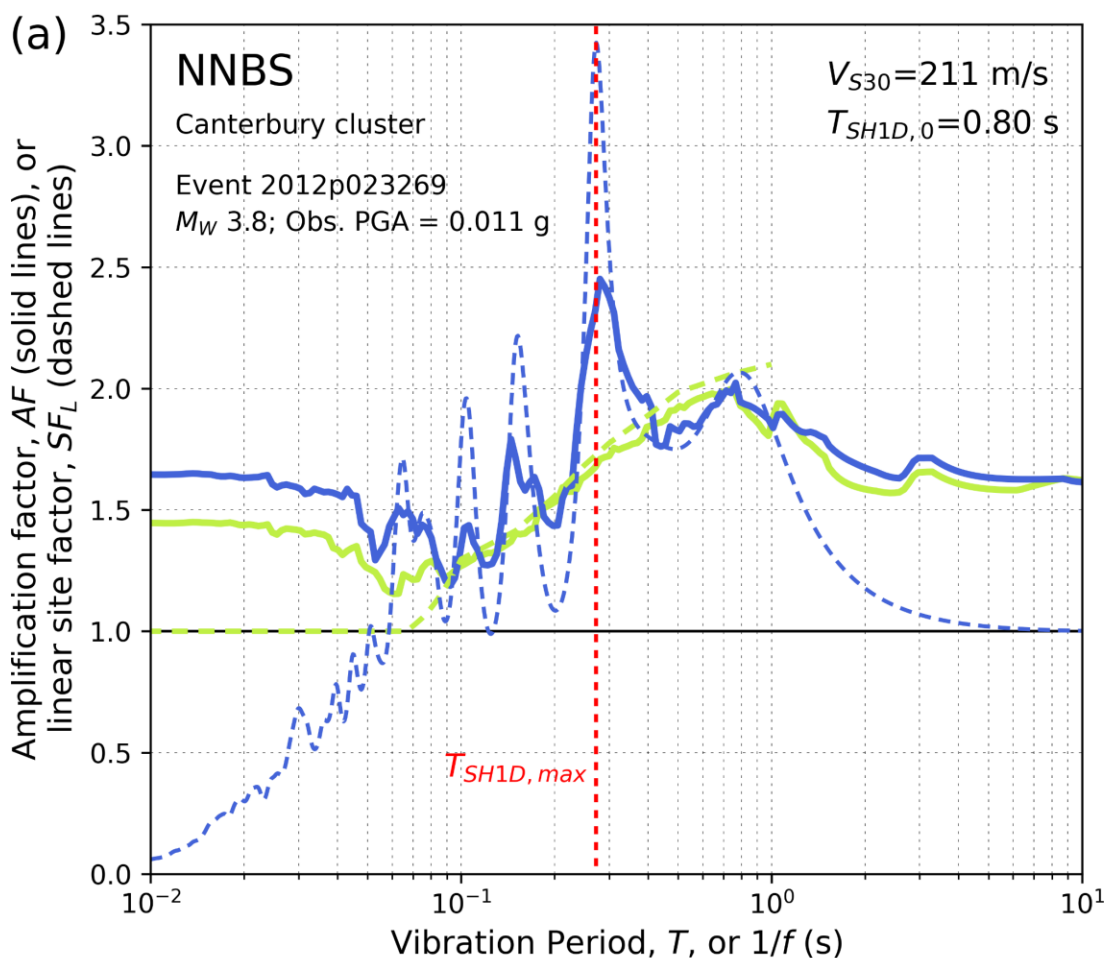
Smoothing of the resonant peaks and troughs

Amplification factor (AF)

Computed in the response spectral domain

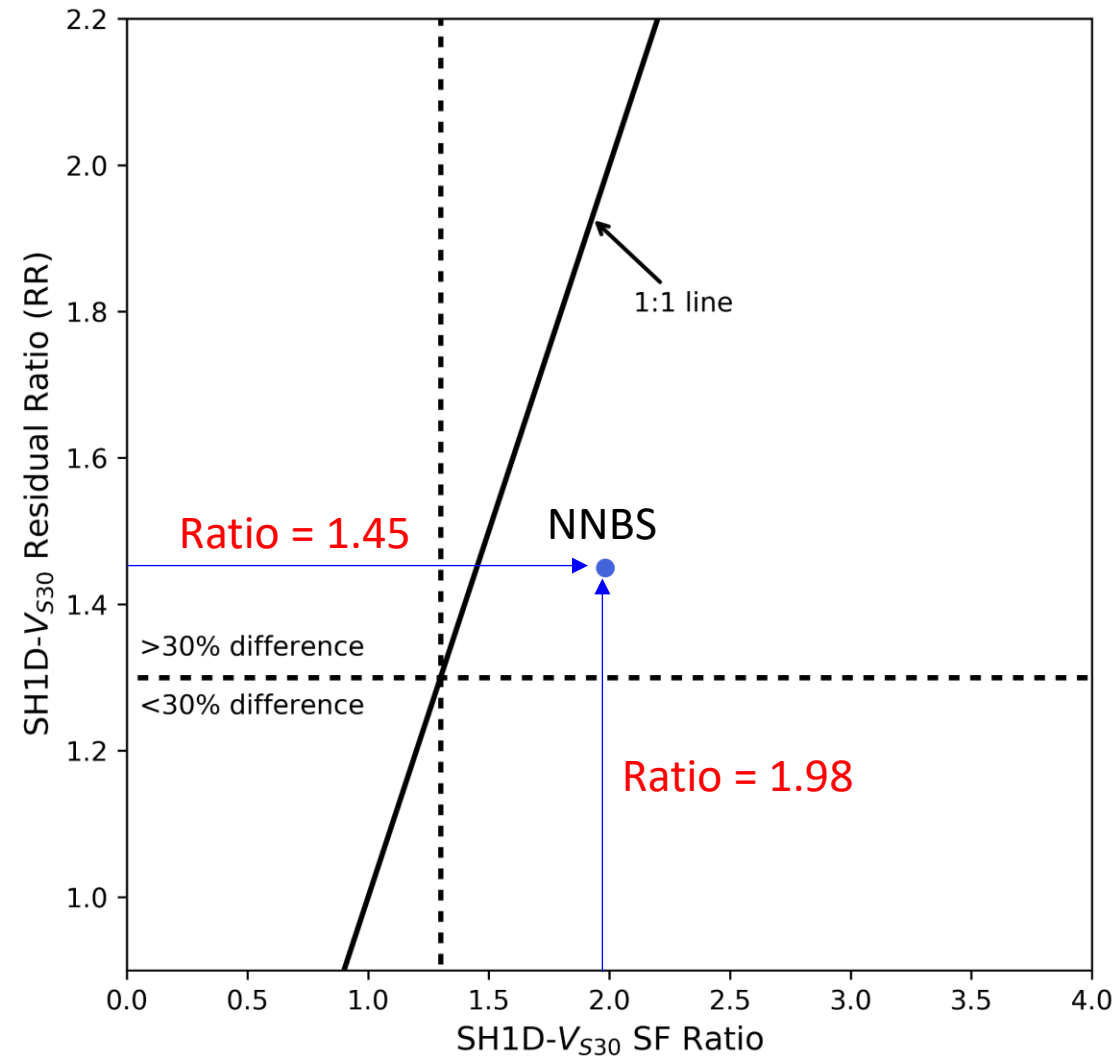
Large differences in the site factors are reduced

# Comparison of site adjustments for soil sites

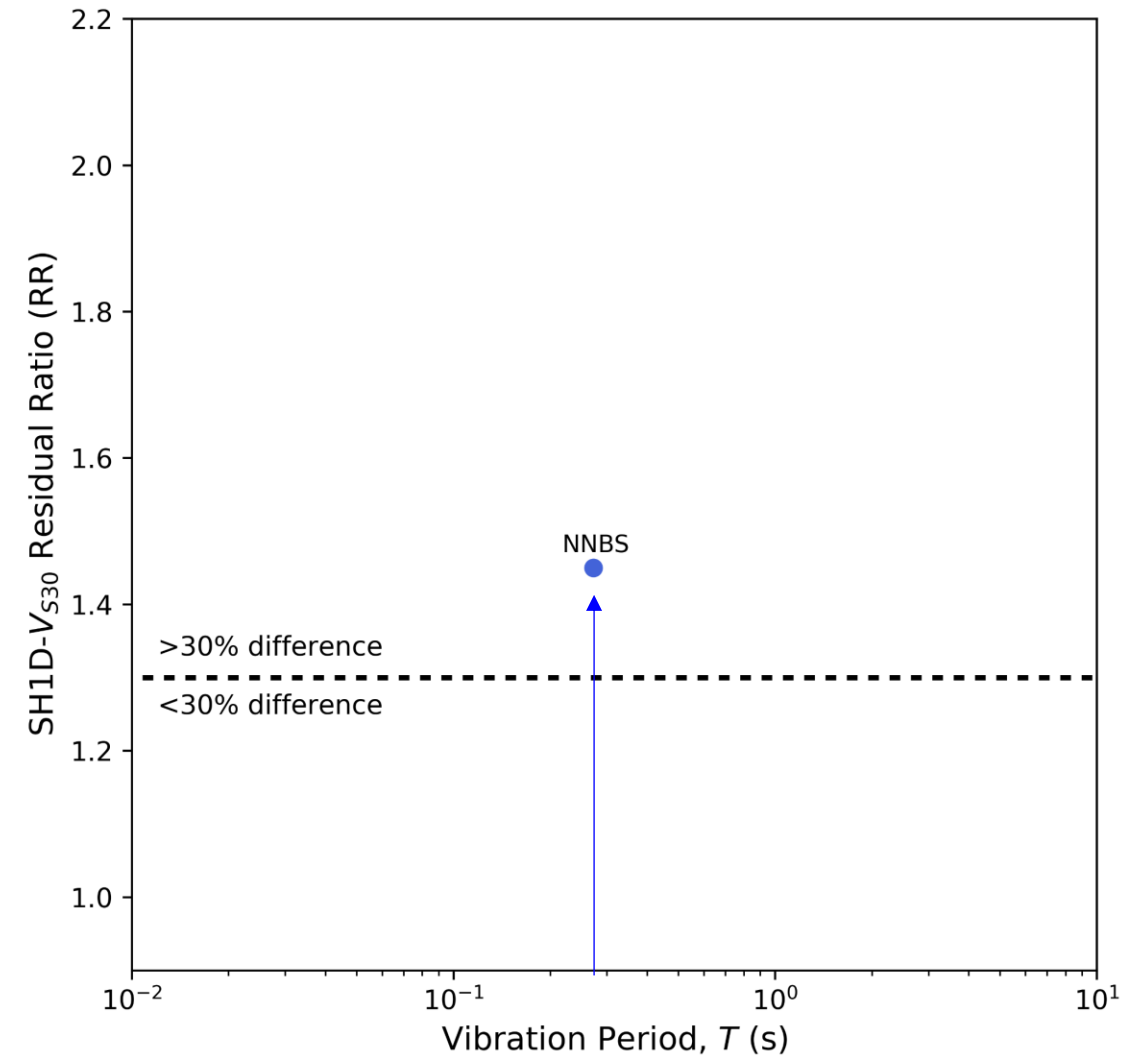
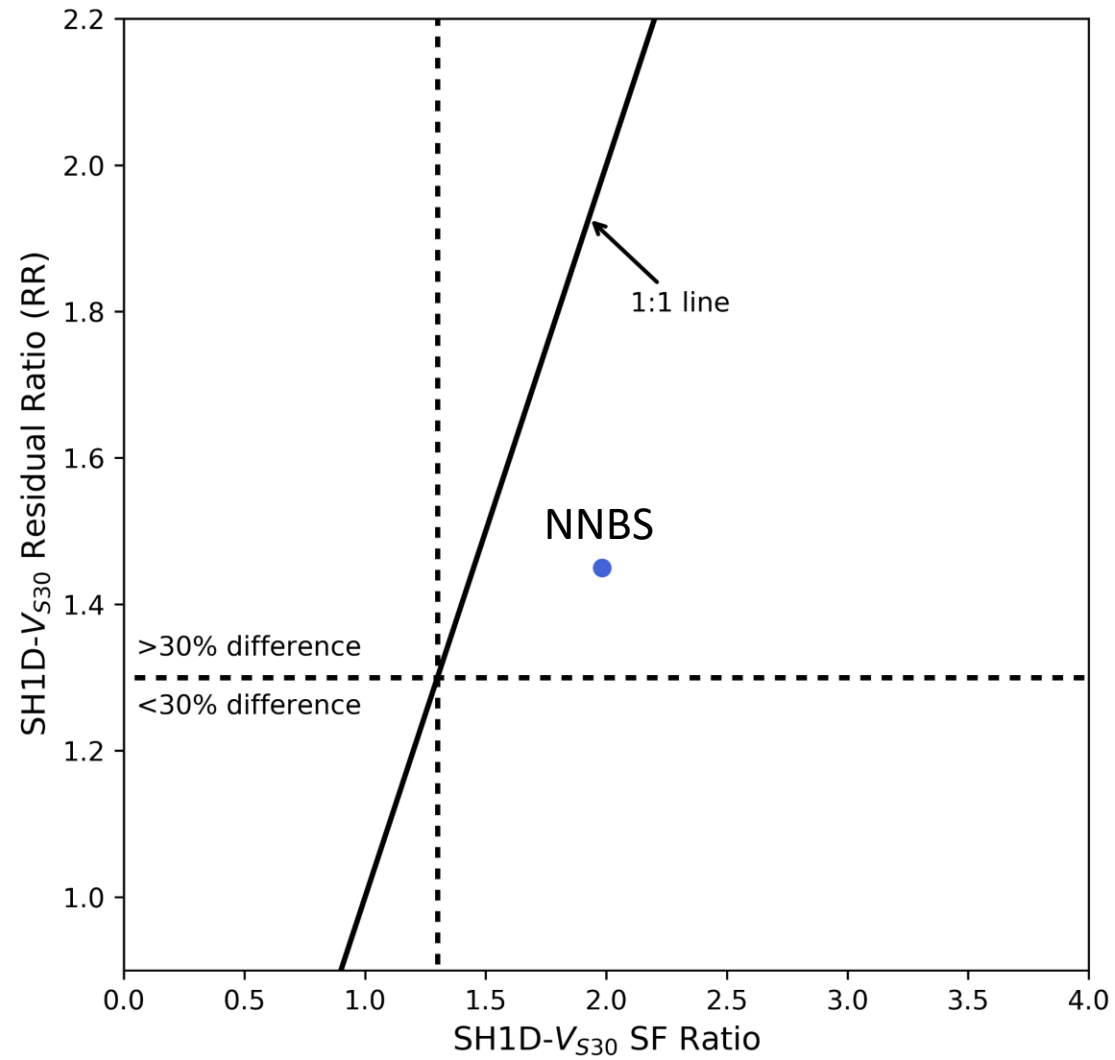


- In this case, the SH1D-based method improves prediction at  $T_{SH1D,max}$
- Similar prediction at other periods

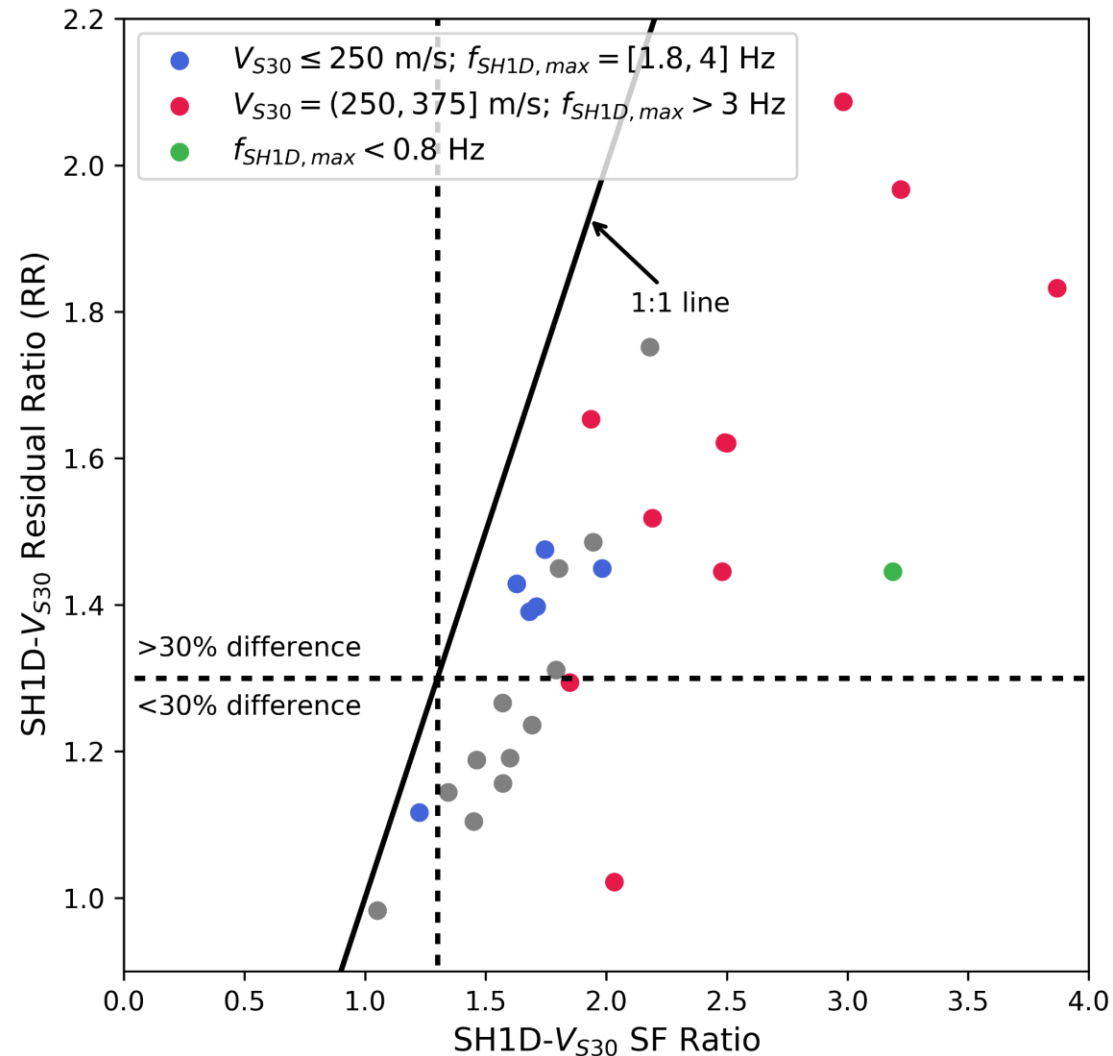
# Comparison of site adjustments for soil sites



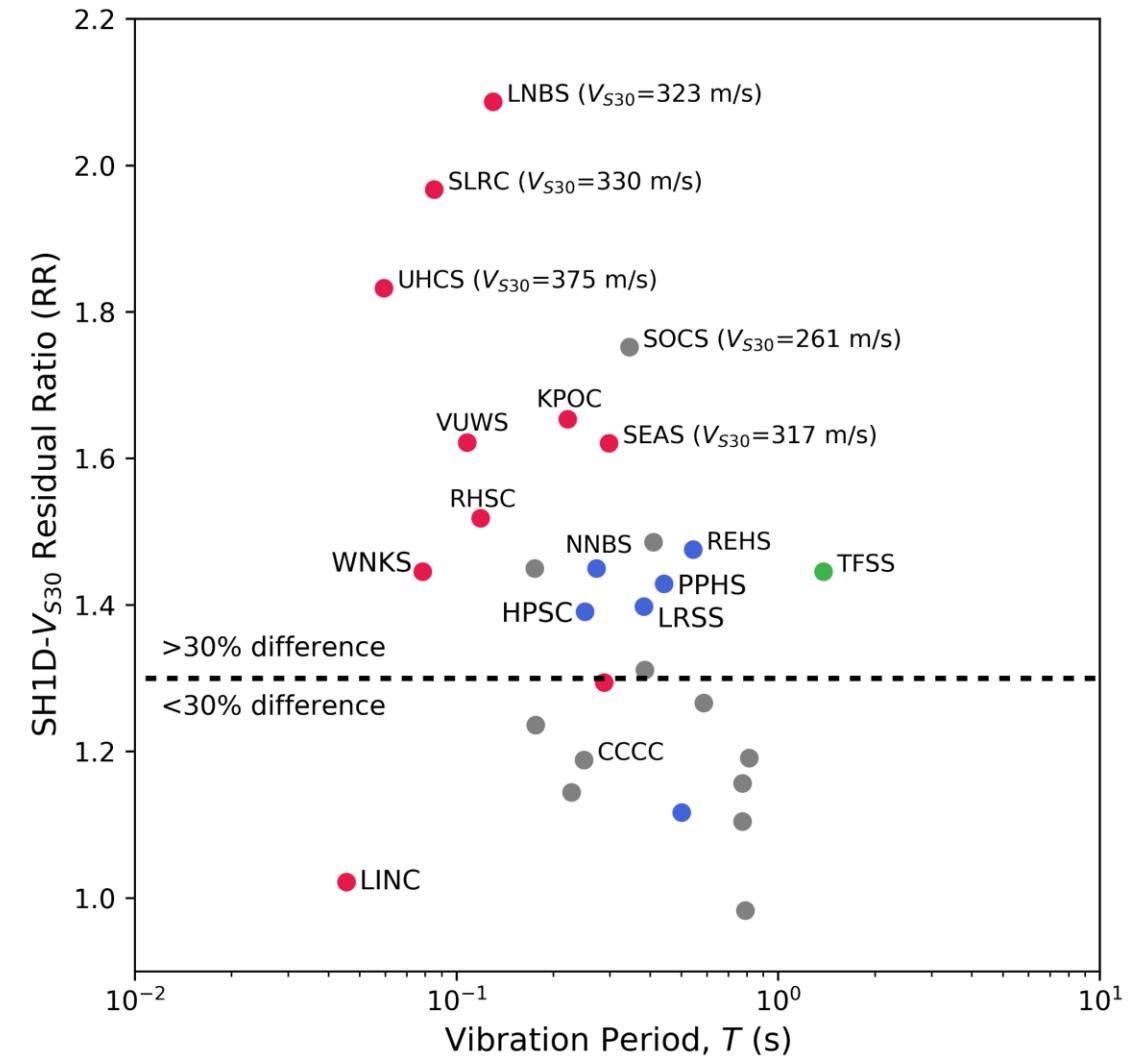
# Comparison of site adjustments for soil sites



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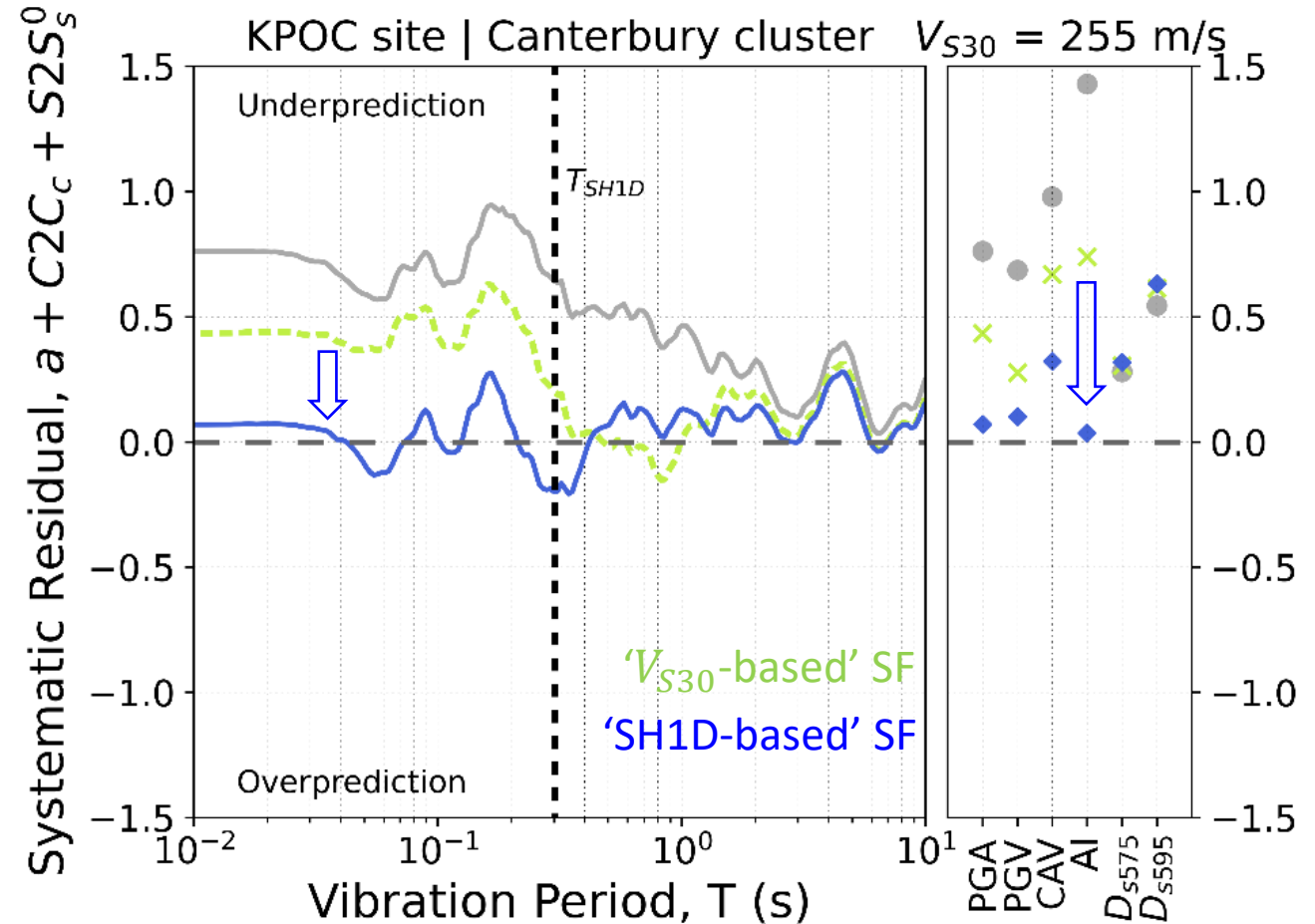
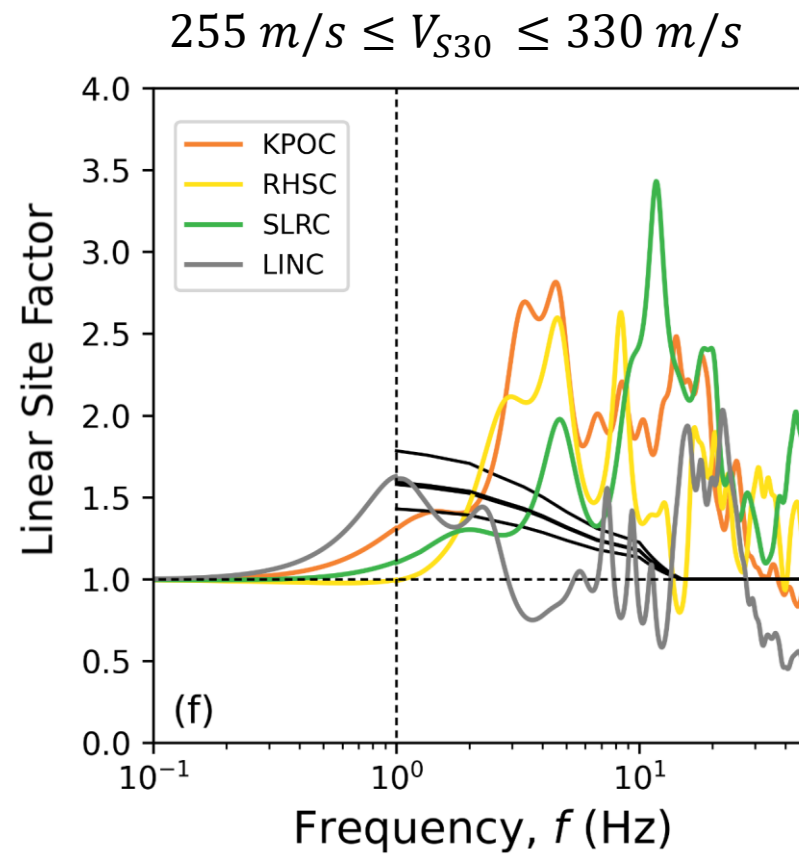
Residual ratios are systematically lower than site factor ratios



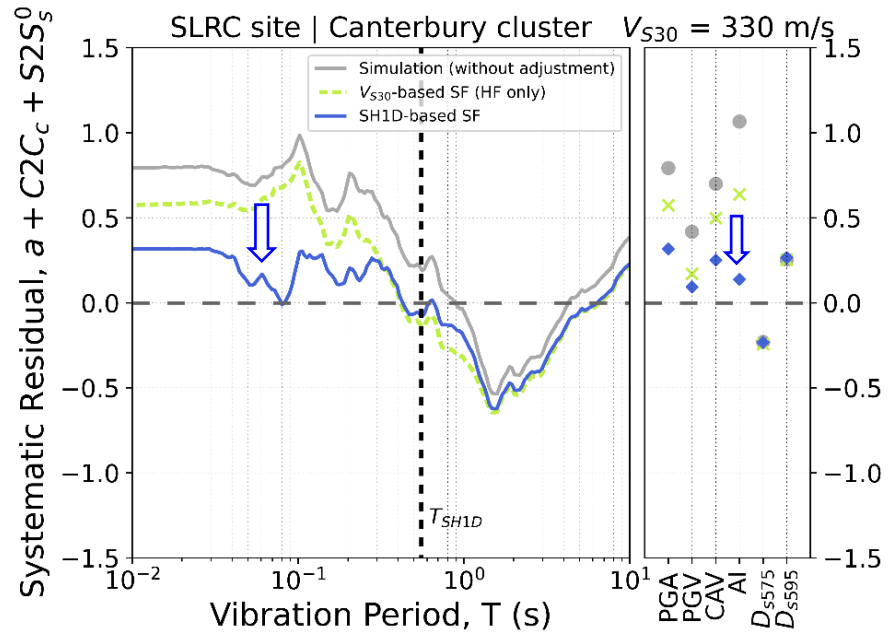
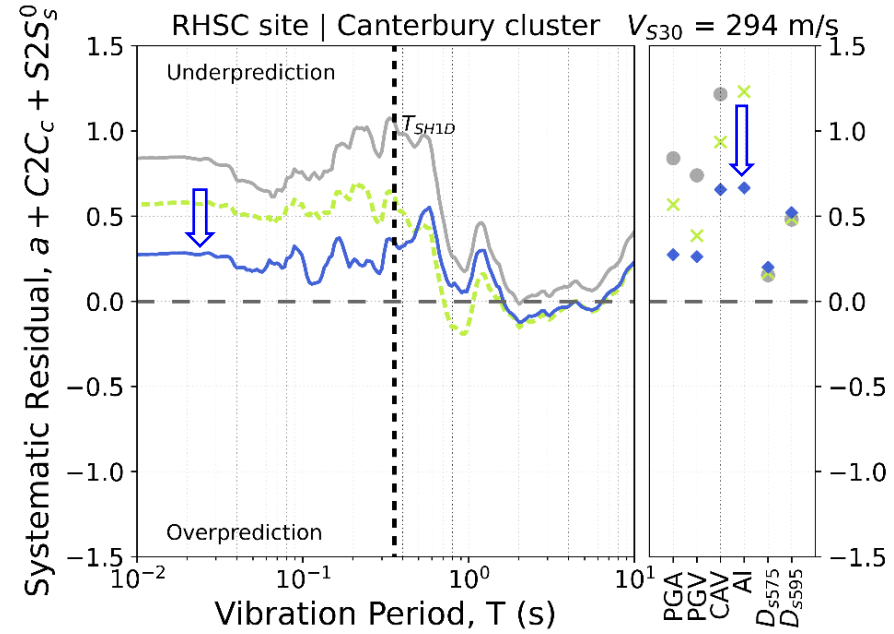
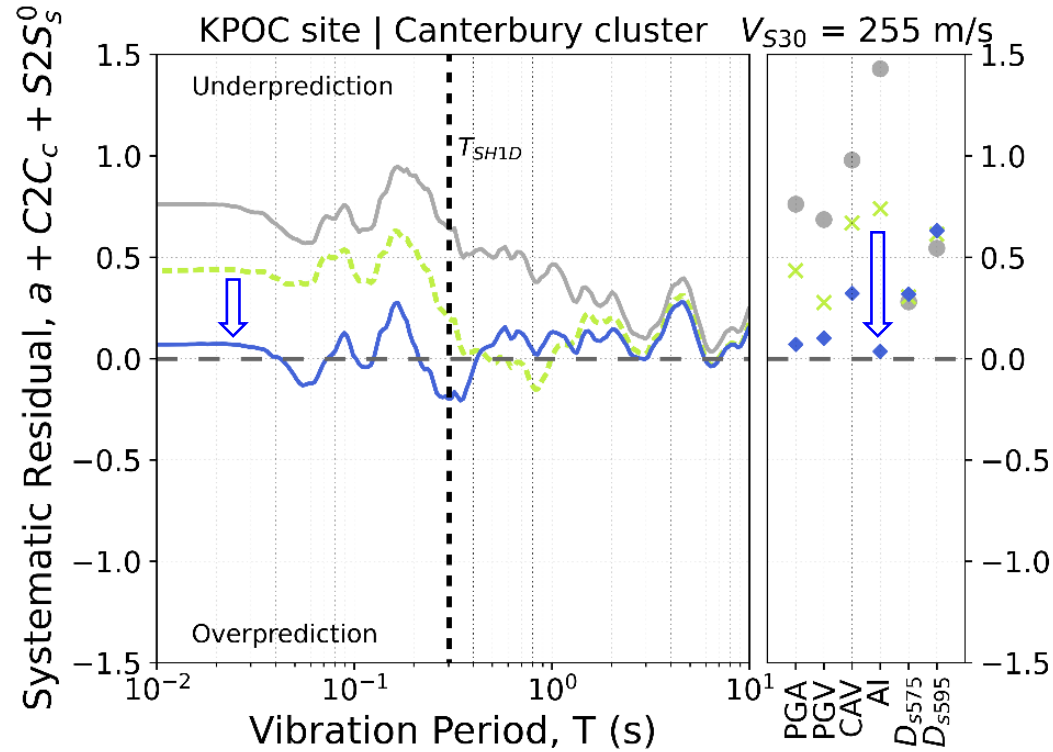
More significant residual ratios: relatively stiff sites displaying high-frequency amplification

# Comparison of site adjustments for soil sites

Relatively stiff sites displaying high-frequency amplification



# Comparison of site adjustments for soil sites



# Closing Remarks

- The incorporation of shallow site effects significantly reduced the prediction site-to-site variability
- Comparable reduction between methods: the use of more detailed site-characterization data does not necessarily translate into significantly superior predictions
- Parametric and modelling uncertainties, in addition to smoothing of the site adjustment in the response spectral domain
- SH1D-based method showed particular benefit in some cases (four cases were identified in the paper), including relatively stiff sites with high-frequency resonances